



Recent Advances of Laser based Trace Gas Monitoring Technology: Challenges and Opportunities

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OUTLINE

Physics Seminar

Lund,
Sweden
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2004

- Motivation and Technology Issues
- Fundamentals of Laser Absorption Spectroscopy
- Selected Applications of Trace Gas Detection
 - Off Axis-ICOS based detection of NO
 - Direct Absorption Spectroscopy of OCS
 - Quartz Enhanced Laser-PAS of N₂O and H₂CO
 - CO₂ Flux and Isotopic Ratio Measurements
- Conclusions and Outlook

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- National Aeronautics and Space Administration
- National Institute of Health
- National Science Foundation
- Texas Advanced Technology Program
- Welch Foundation



1996 Nobel Laureates in Chemistry, R.F. Curl, H. Kroto & R.E. Smalley



Motivation: Wide Range of Gas Sensing Applications

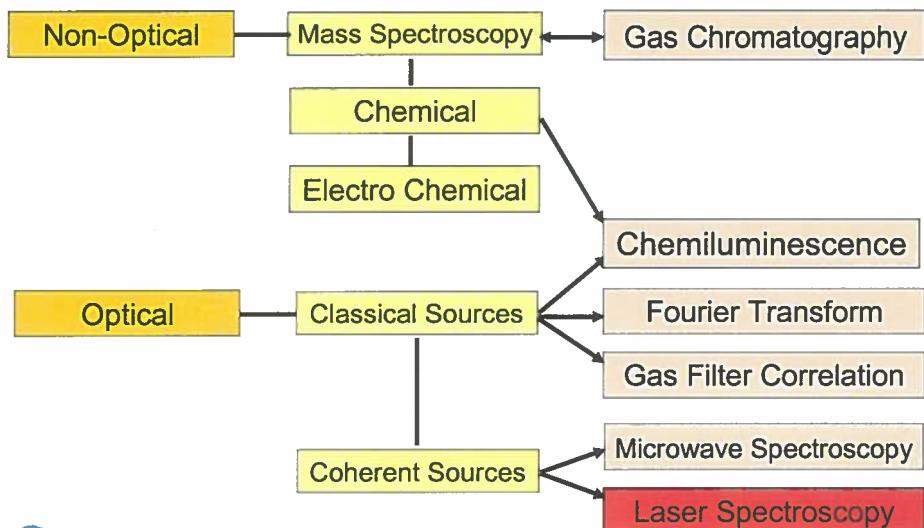
- **Urban and Industrial Emission Measurements**
 - Industrial Plants
 - Combustion Sources and Processes (eg. early fire sensing)
 - Automobile and Aircraft Emissions
- **Rural Emission Measurements**
 - Agriculture and Animal Facilities
- **Environmental Monitoring**
 - Atmospheric Chemistry
 - Volcanic Emissions
- **Chemical Analysis and Industrial Process Control**
 - Chemical, Pharmaceutical, Food & Semiconductor Industry
- **Spacecraft and Planetary Surface Monitoring**
 - Crew Health Maintenance & Human Life Support Program
- **Medical Diagnostics (eg. breath analysis)**
- **Biohazard and Toxic Chemical Detection**
- **Fundamental Science, Photochemistry and Life Sciences**



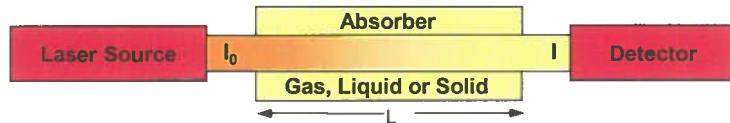
International Space Station



Existing Methods for Trace Gas Detection



Direct Laser Absorption Spectroscopy

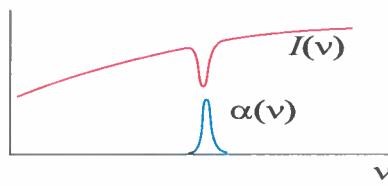


Beer-Lambert's Law of Linear Absorption

$$I(v) = I_0 \cdot e^{-\alpha(v) \cdot P_a L}$$

$\alpha(v)$ - absorption coefficient [$\text{cm}^{-1} \text{ atm}^{-1}$]; L – path length [cm]

v - frequency [cm^{-1}]; P_a - partial pressure [atm]



$$\alpha(v) = C \cdot S(T) \cdot g(v - v_0)$$

C - total number of molecules of absorbing gas/ atm/cm^3 [$\text{molecule} \cdot \text{cm}^{-3} \cdot \text{atm}^{-1}$]

S - molecular line intensity [$\text{cm} \cdot \text{molecule}^{-1}$]

$g(v - v_0)$ - normalized lineshape function [cm], (Gaussian, Lorentzian, Voigt)



Sensitivity Enhancement Techniques

- **Optimum Molecular Absorbing Transition**
 - Overtone or Combination Bands (NIR)
 - Fundamental Absorption Bands (MID-IR)
- **Long Optical Pathlength**
 - Multipass Absorption Cell (White, Herriot)
 - Cavity Enhanced and Cavity Ringdown Spectroscopy
 - Open Path Monitoring (with retro-reflector)
 - Fiberoptic Evanescent Wave Spectroscopy
- **Spectroscopic Detection Schemes**
 - Frequency or Wavelength Modulation
 - Balanced Detection
 - Zero-air Subtraction
 - Photoacoustic Spectroscopy
 - Noise Immune Cavity Enhanced-Optical Heterodyne Molecular Spectroscopy (NICE-OHMS)

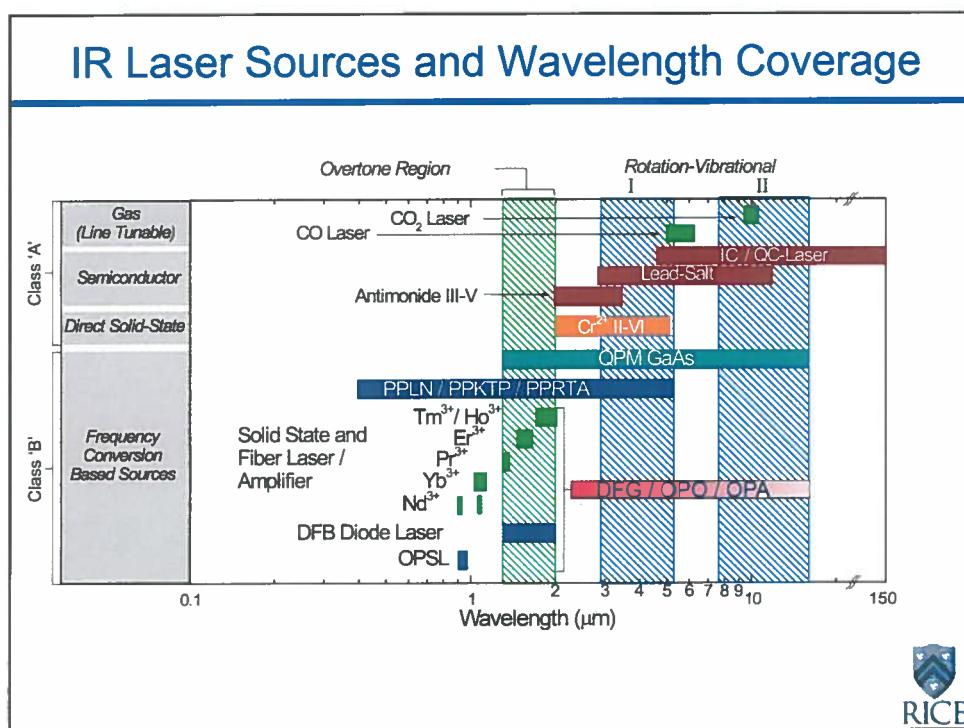


CW IR Source Requirements for Laser Spectroscopy

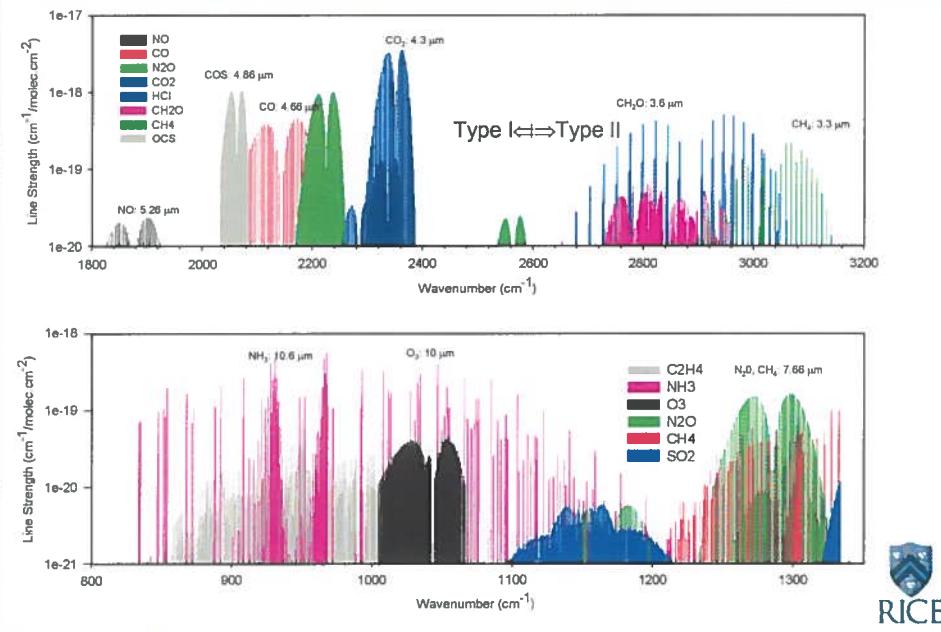
<u>REQUIREMENTS</u>	<u>IR SOURCE</u>
• Sensitivity (% to ppt)	• Power
• Selectivity	• Narrow Linewidth
• Multi-gas Components	• Tunable Wavelengths
• Directionality	• Beam Quality
• Rapid Data Acquisition	• Fast Time Response
• Room Temperature	• No Consumables
• Field deployable	• Compact & Robust

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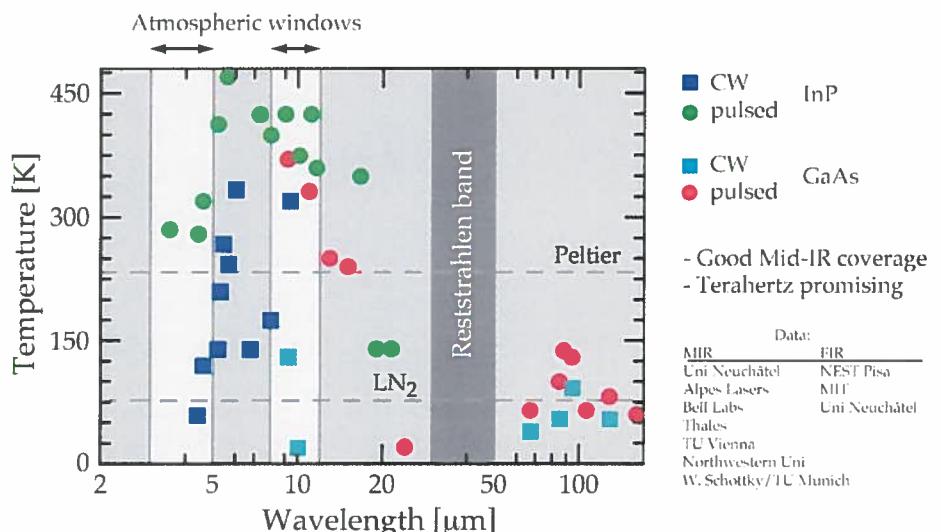
IR Laser Sources and Wavelength Coverage



HITRAN Simulation of Absorption Spectra (3.1-5.5 & 7.6-12.5 μm)



State of the art: QCL performances



Kindly provided by Prof. J. Faist, University of Neuchâtel, Nov. 2004

Key Characteristics of Mid-IR Quantum Cascade Lasers for Spectroscopy

- QC laser wavelengths cover entire mid-IR range from 3.3 to 24 μm determined by thickness of the quantum well and barrier layers of the active region
- Intrinsically high power lasers (determined by number of stages of injector-active quantum well gain regions)
 - CW: ~100 mW @ 80 °K and 1- 640 mWs @ 295 °K
 - Pulsed: >1 W peak at room temperature, ~50 mW avg. @ 0 °C (up to 80 % duty cycle) to 100 mWs (56% d.c)
- High spectral purity: <kHz - 330MHz (.01 to .001 cm^{-1})
- Wavelength tunable by current ($\sim 1 \text{ cm}^{-1}$) or temperature scanning ($\sim 10 \text{ cm}^{-1}$); $\sim 160 \text{ cm}^{-1}$ with external cavity grating
- High reliability: long lifetime, robust operation and reproducible emission wavelengths

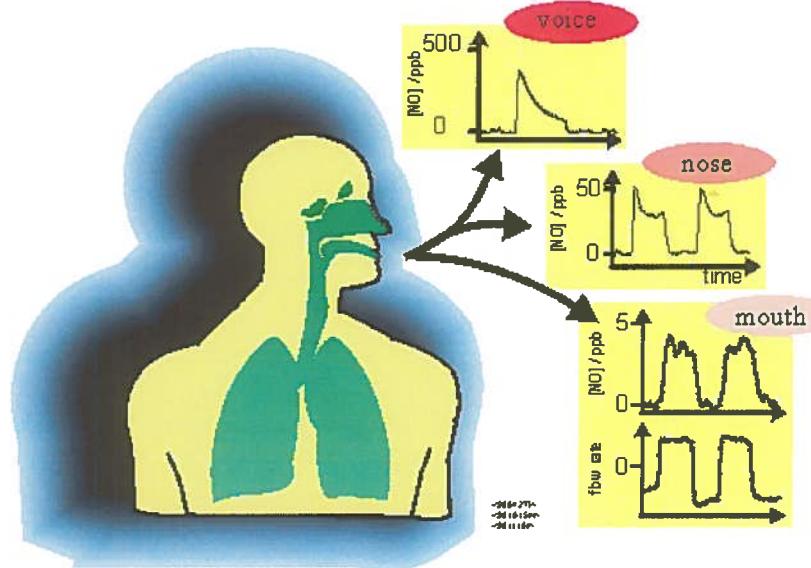


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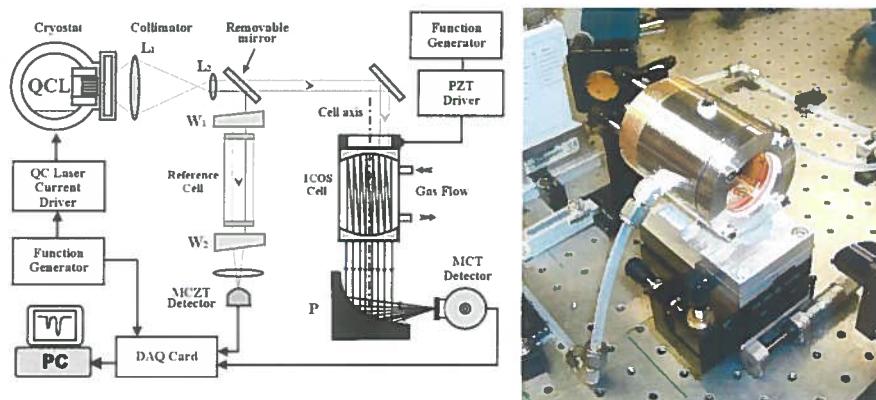
Important Biomedical Target Gases

Molecule	Formula	Biological/Pathology Indication
Pentane	$\text{CH}_3(\text{CH}_2)_3\text{CH}_3$	Lipid peroxidation, oxidative stress associated with inflammatory diseases, transplant rejection, breast and lung cancer
Ethane	C_2H_6	Lipid peroxidation and oxidative stress
CO_2 isotope ratio	$^{13}\text{CO}_2 / ^{12}\text{CO}_2$	Marker for Helicobacter pylori infection, Gastrointestinal and hepatic function
Carbonyl Sulfide	COS	Liver disease and acute rejection in lung transplant recipients (10-500 ppb?)
Carbon disulfide	CS_2	Schizophrenia
Ammonia	NH₃	Hepatic encephalopathy, liver and renal diseases, fasting response
Formaldehyde	HCHO	Cancerous tumors, breast cancer (400-1500 ppb)
Nitric Oxide	NO	Inflammatory and immune responses (e.g., asthma) and vascular smooth muscle response (6-100 ppb)
Hydrogen Peroxide	H_2O_2	Airway Inflammation, Oxidative stress (1-5 ppb)
Carbon Monoxide	CO	Smoking response, CO poisoning, vascular smooth muscle response, platelet aggregation (400-3000 ppb)
Ethylene	$\text{H}_2\text{C}=\text{CH}_2$	Oxidative stress, cancer
Acetone	CH_3COCH_3	Fasting response, diabetes mellitus response, ketosis

Nitric Oxide Concentrations in Exhaled Breath by Healthy Humans

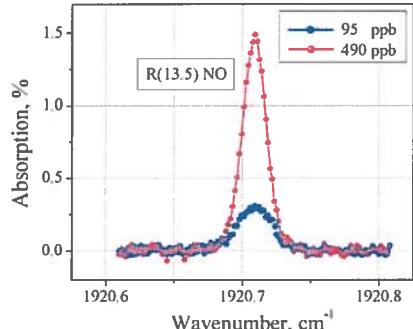


Off-Axis Integrated Cavity Output Spectroscopy Based Gas Sensor

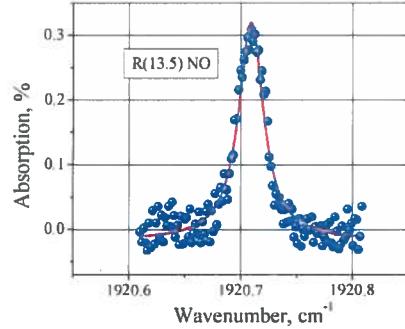


- Novel compact gas cell design of length: 3.8 – 5.3 cm and cell volumes < 80 cm³;
- Low loss mirrors (ROC 1m): ~60-250 ppm, R~99.975, L_{eff}=170-800 m
- Rapid eNO concentration measurements during a single breath cycle are feasible

Off-axis ICOS Detection of NO



- 95 and 490 ppb NO/ N_2 calibration mixture at 100 Torr total pressure
- Effective optical path \sim 70 m (1,350 passes)



Voigt fit of measured NO absorption line at 1920.7 cm^{-1} for a concentration of 95 ppb

Noise-equivalent sensitivity is 10 ppb for 1σ deviation of the best fit coefficient.
Detection sensitivity: $1.0 \times 10^{-7} \text{ cm}^{-1} \text{ Hz}^{-1/2}$

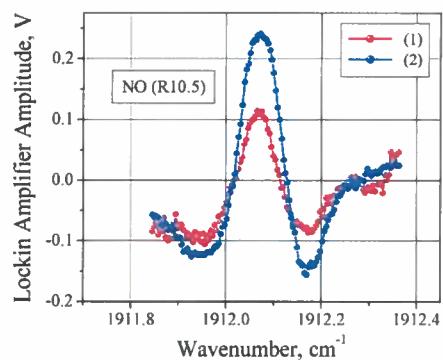


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NO from Nasal Exhaled Air (OA-ICOS and wavelength modulation spectroscopy)



Medically approved collection bag for human breath samples



Averaged 2f signal of the OA-ICOS cavity output

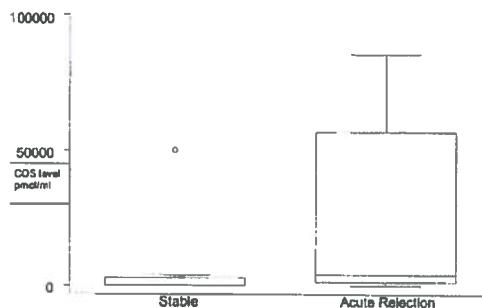
- (1) Nasal NO concentration: 53 ppb
- (2) 95 ppb NO/ N_2 calibration mixture



Exhaled Carbonyl Sulfide

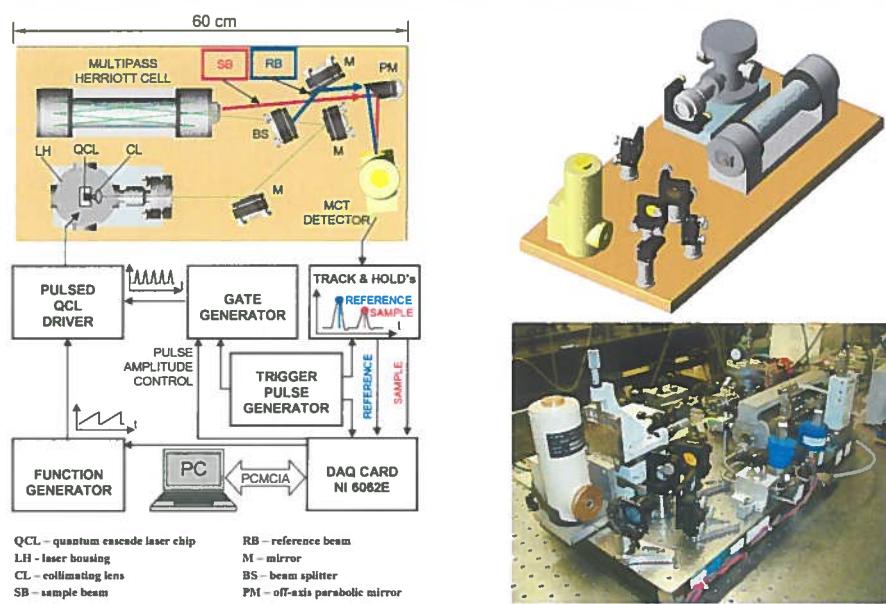
- A 2001 study by the T. H. Risby group at John Hopkins University demonstrated that elevated levels of COS could have a diagnostic role in the detection of acute allograft rejection in lung transplant recipients
 - S. M. Studer, et. al., J. of Heart and Lung Transplantation, 20(11), 1158-66 (2001).

Measured with gas chromatography and flame ionization detection

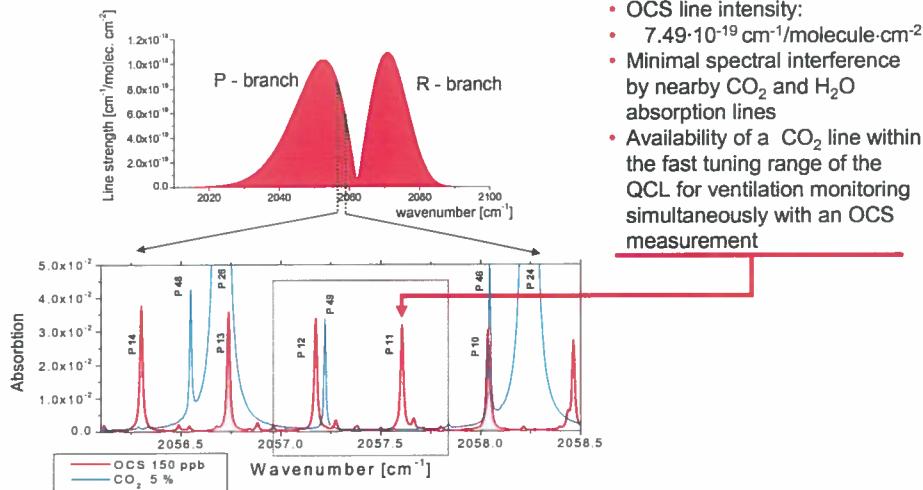


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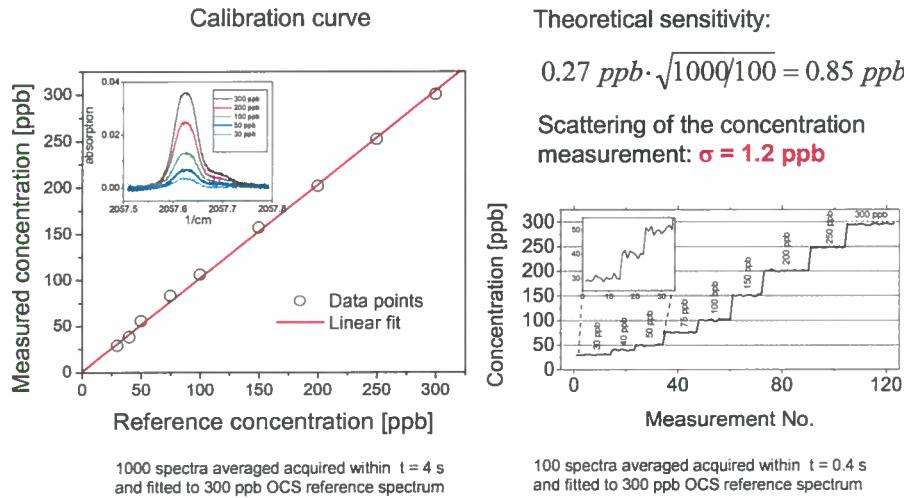
OCS Sensor Architecture



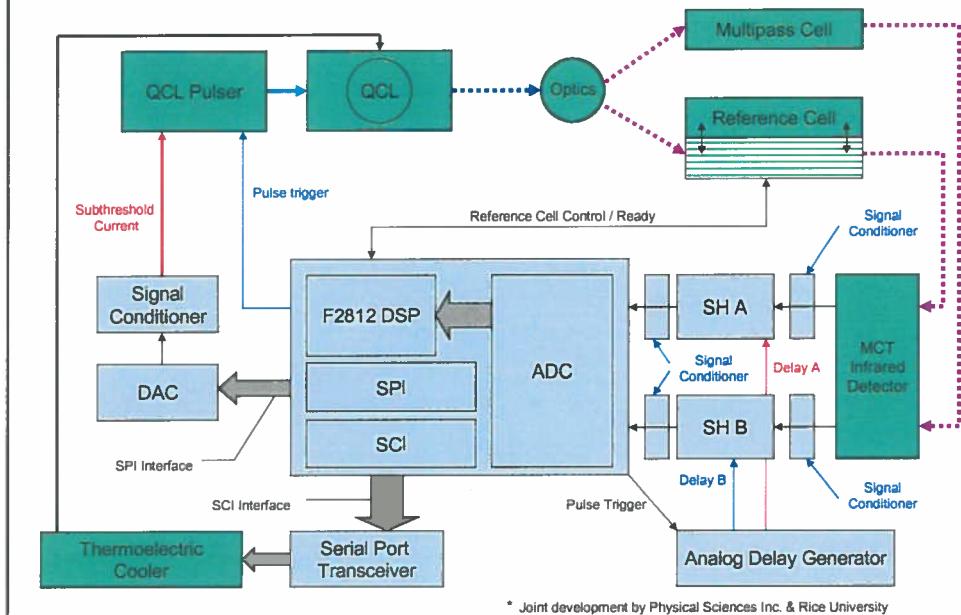
OCS ro-vibrational ν_3 spectrum at $\sim 4.85\mu\text{m}$



OCS Concentration Calibration of QCL Sensor

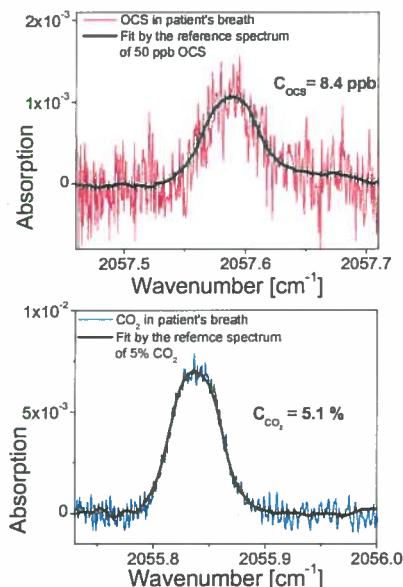


DSP Fast Data Acquisition Architecture*



* Joint development by Physical Sciences Inc. & Rice University

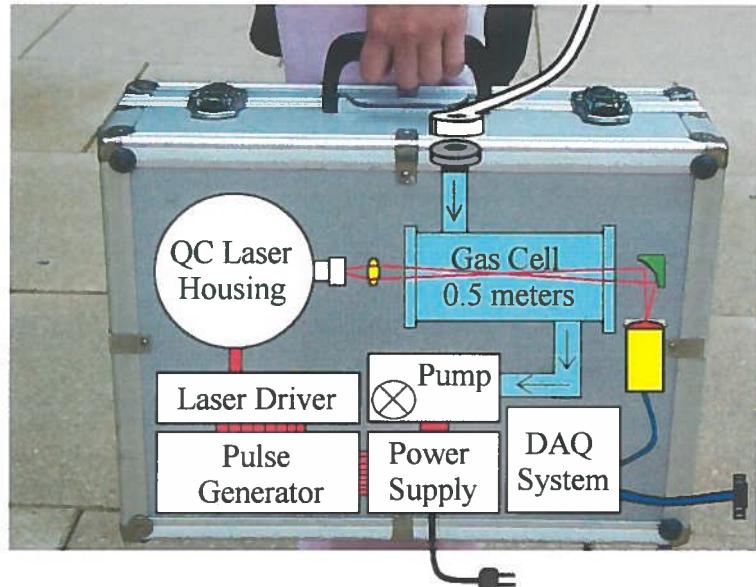
OCS and CO₂ Concentration Measurements in Exhaled Breath



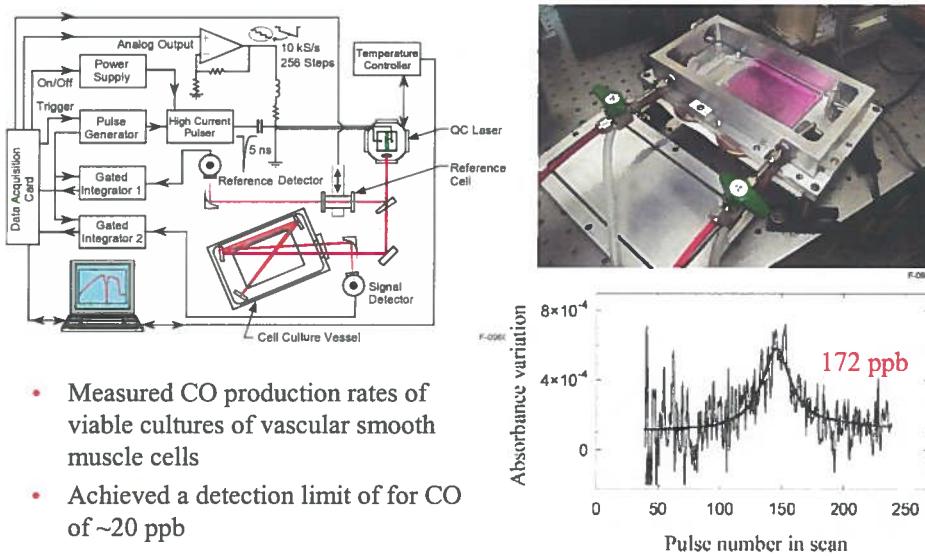
- Sample was taken from lung transplant patient with suspected bronchiolitis*
- Sampling was performed using chemically inert 1 liter tedlar sampling bags and analyzed within 2 hours after collection
- Spectrum was measured at a total pressure of 60 torr

*The authors wish to thank Dr. Remzi Bag and Carolyn M. Paraguaya from Baylor College of Medicine, Houston, TX for supplying breath samples

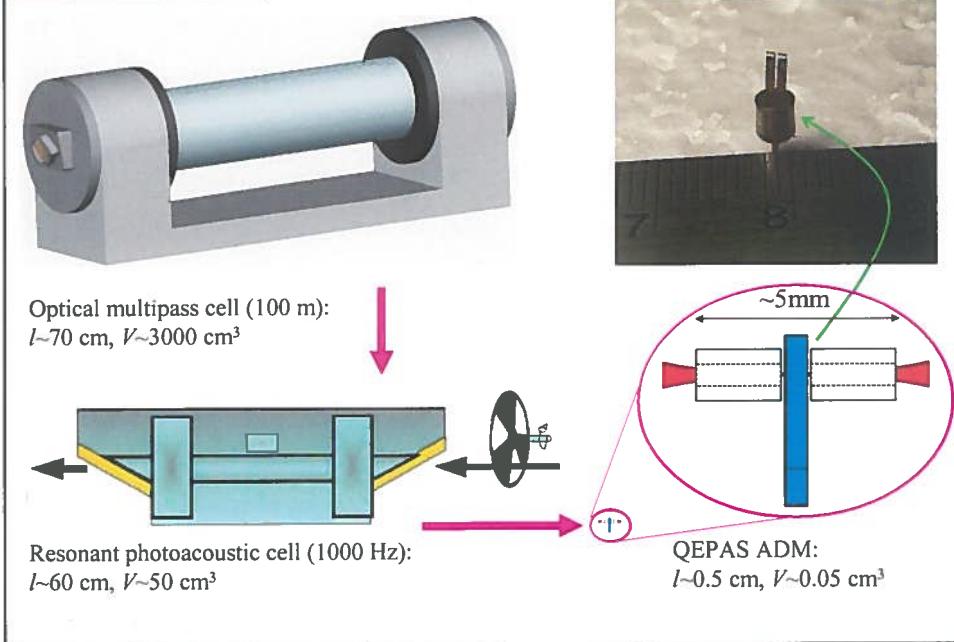
Schematic of a Portable LAS System



QC laser based measurements of CO trace gas above cell cultures



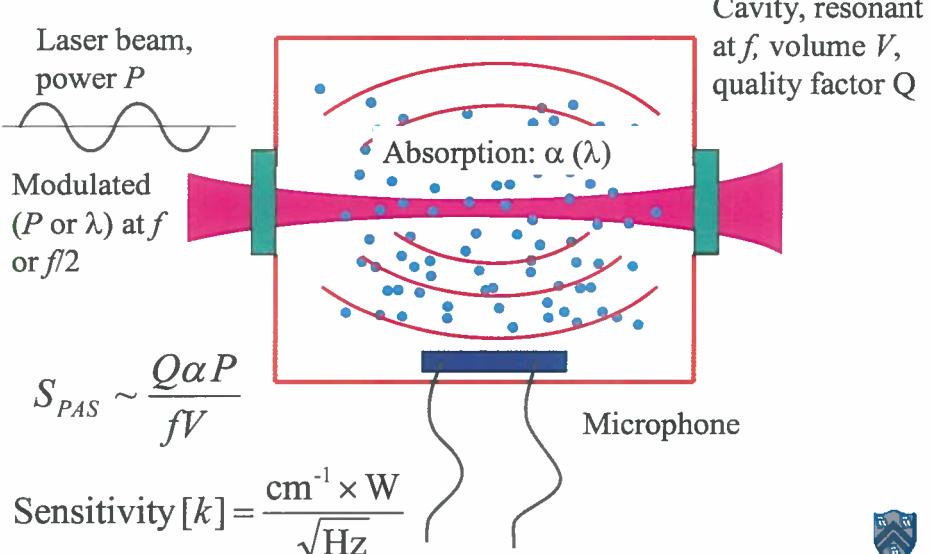
Comparative Size of Absorbance Detection Modules (ADM)



Merits of QE Laser-PAS based Trace Gas Detection

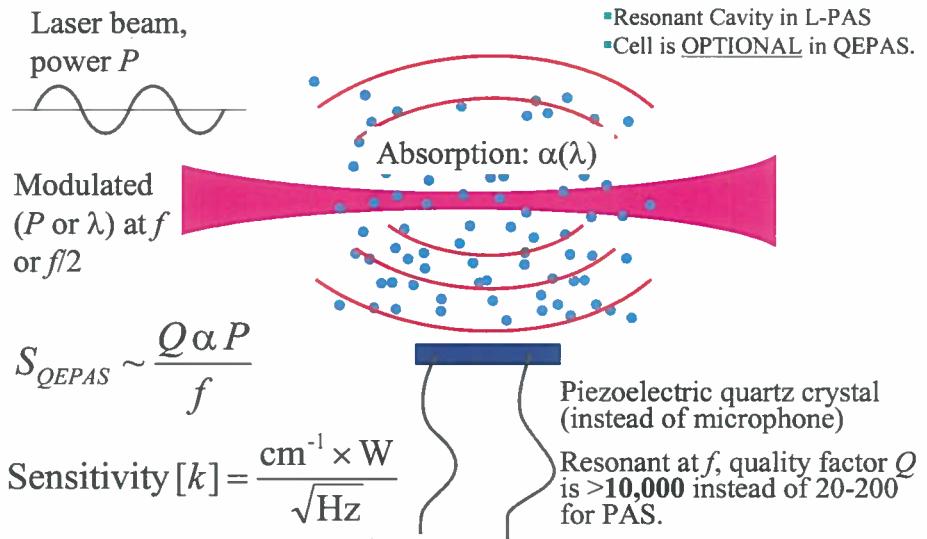
- Immune to ambient and flow acoustic noise, laser noise and etalon effects
- Dramatic reduction of sample volume ($< 1 \text{ mm}^3$)
- High sensitivity (ppm to ppb gas concentration levels) and excellent dynamic range
- Applicable over a wide range of pressures
- Temperature, pressure and humidity insensitive
- Rugged and low cost compared to LAS that requires a multipass absorption cell and infrared detector(s)
- Potential for optically multiplexed concentration measurements

Resonant photoacoustic spectroscopy



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Quartz-Enhanced Photoacoustic Spectroscopy (QEPAS)



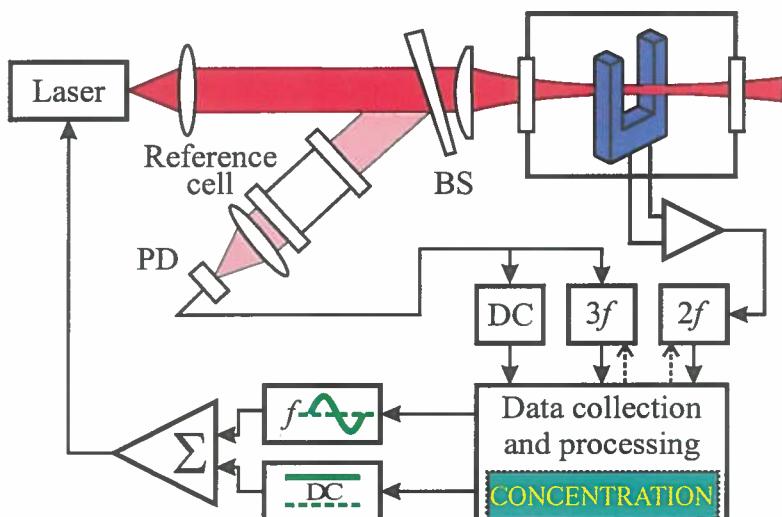
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A. Kosterev et al. Optics Letters 27, 1902, 2002

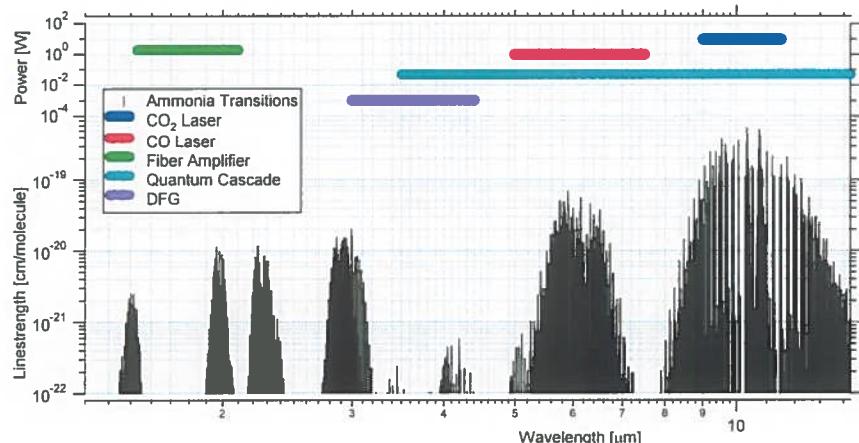
QEPAS versus Traditional PAS

Parameter	Traditional PAS	QEPAS
f , Hz	100 to 4000	Presently ~32 760
Q	20 to 200	10 000 to 30 000
Q vs. pressure	INCREASES (high spectral resolution is problematic)	DECREASES (high spectral resolution is achievable)
Sample volume	$>10 \text{ cm}^3$	$<1 \text{ mm}^3$
Sensitivity to ambient acoustic and flow noise	Usually high	None observed
Pathlength involved	$\sim 10 \text{ cm}$	(a) 0.3mm, (b) 5mm

Diode Laser based QEPAS Gas Sensor Architecture

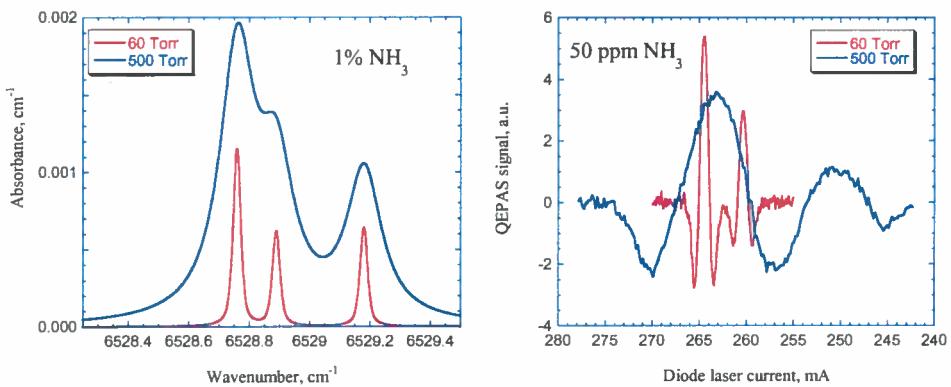


Infrared NH₃ Absorption Spectra



M. Webber et al. 2003, Pranalytica

Ammonia Detection using a 1.53 μm Telecom Diode Laser

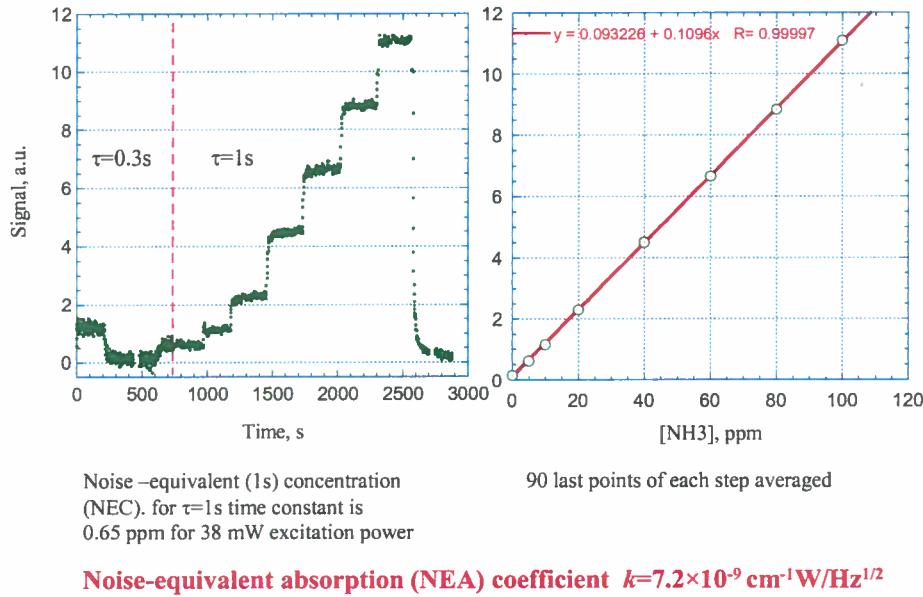


Spectral simulations based on data from
Webber et al., APPLIED OPTICS **40**, 2031-
2042 (2001)]

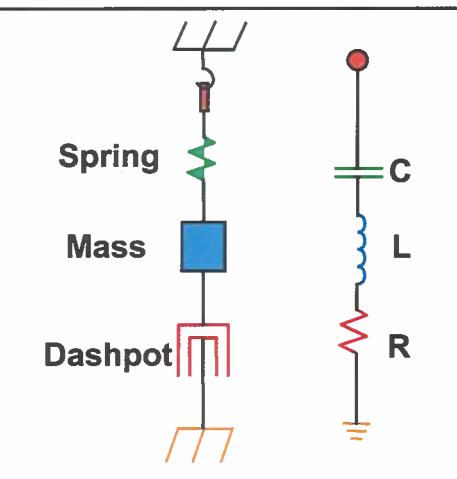
QEPAS spectra at different pressures of
NH₃:N₂ gas mixture; t=0.3s, 38 mW diode
laser excitation power at 6529 cm⁻¹

To appear in Applied Optics (Nov 2004)

Calibration and Linearity of QEPAS based NH₃ Sensor



Equivalent circuit of a quartz TF



$$\omega_0 = \sqrt{\frac{1}{LC}}$$

$$Q = \frac{1}{R} \sqrt{\frac{L}{C}}$$

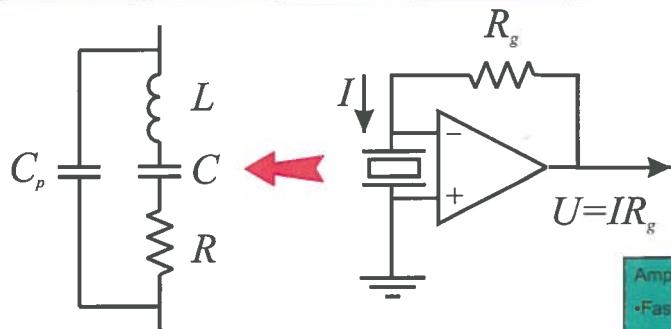
$$\sqrt{\langle I_N^2 \rangle} = \sqrt{\frac{4k_B T}{R}}$$

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"QUARTZ CRYSTAL RESONATORS AND OSCILLATORS For Frequency Control and Timing Applications", tutorial by John R. Vig, U.S. Army Communications-Electronics Command (July 2001)



Noise analysis



Amplifier:

- Fast
- Low noise
- High impedance
- Low 1/f noise

$$S_1 = \sqrt{4k_B T R_g}; \quad R_g = 10 \text{ M}\Omega \Rightarrow S_1 = 4.1 \cdot 10^{-7} \frac{\text{V}}{\sqrt{\text{Hz}}}$$

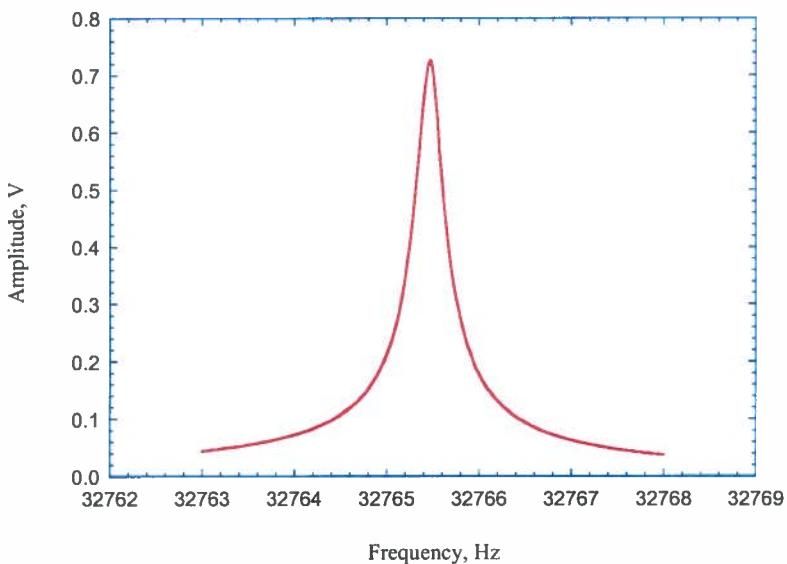
$$S_2 = \sqrt{\frac{4k_B T}{R}} R_g; \quad R = 100 \text{ k}\Omega \Rightarrow S_2 = 4.1 \cdot 10^{-6} \frac{\text{V}}{\sqrt{\text{Hz}}} \text{ (at 760 Torr)}$$

$$S = \sqrt{S_1^2 + S_2^2} \approx S_2 \quad (\text{at resonance}) \quad \text{Noise goes up as } \sqrt{Q}.$$

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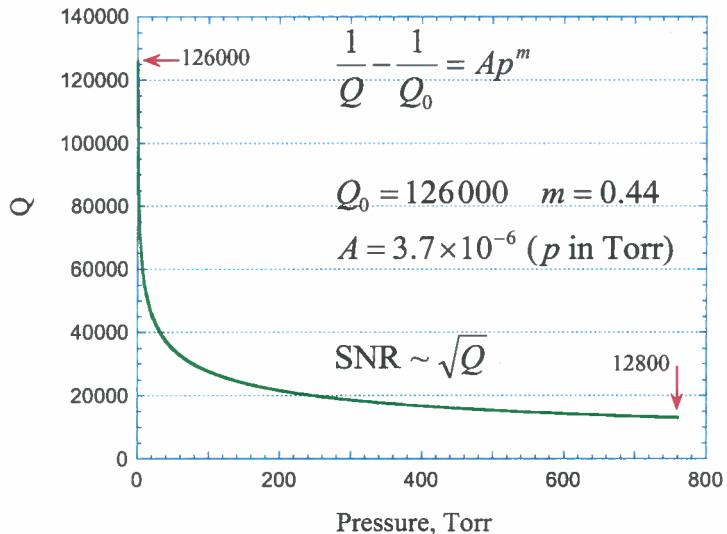
Resonant Response, Q=116 600



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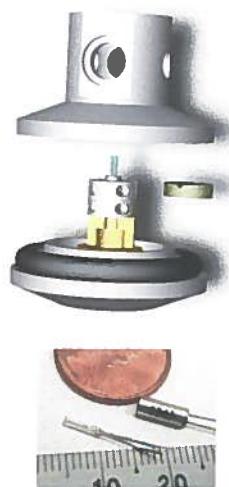
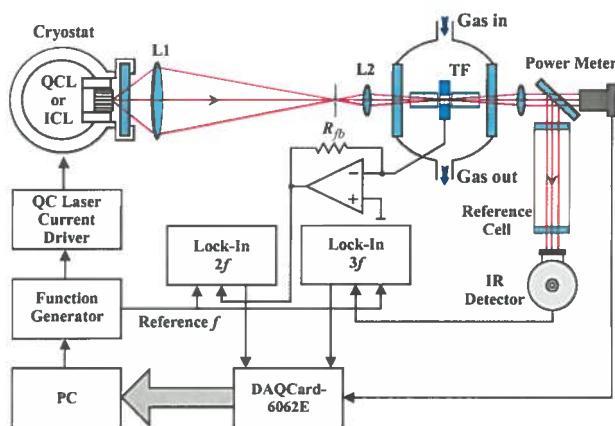
Pressure Dependence of Q Factor of a Typical TF



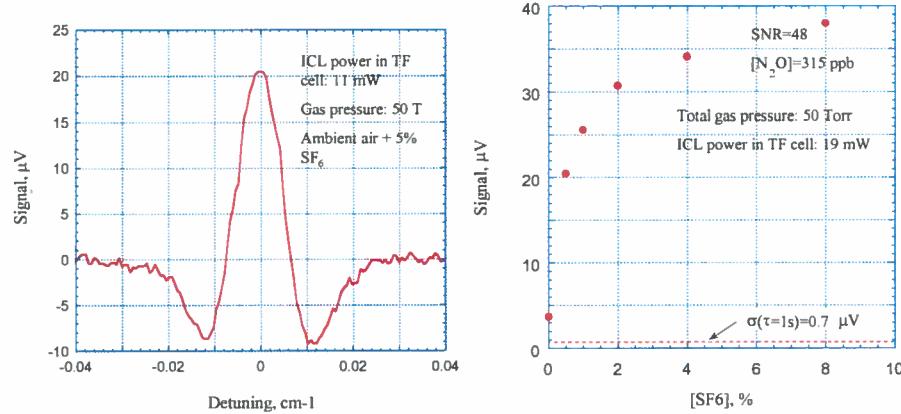
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QCL based Quartz-Enhanced Photoacoustic Spectrometer



N₂O Detection in Ambient Air at 4.6μm (2195.6 cm⁻¹)



Noise-equivalent absorption coefficient $k=1.5\times10^{-8} \text{ cm}^{-1}\text{W}/\text{Hz}^{1/2}$



To appear in Applied Physics B 2004

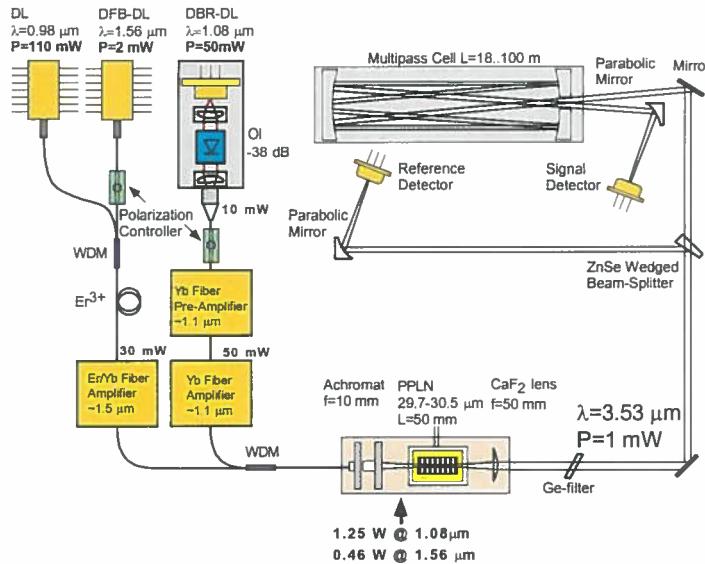
Motivation for Precision Monitoring of H₂CO

- Precursor to atmospheric O₃ production
- Pollutant due to incomplete fuel combustion processes
- Potential trace contaminant in industrial manufactured products
- Medically important gas

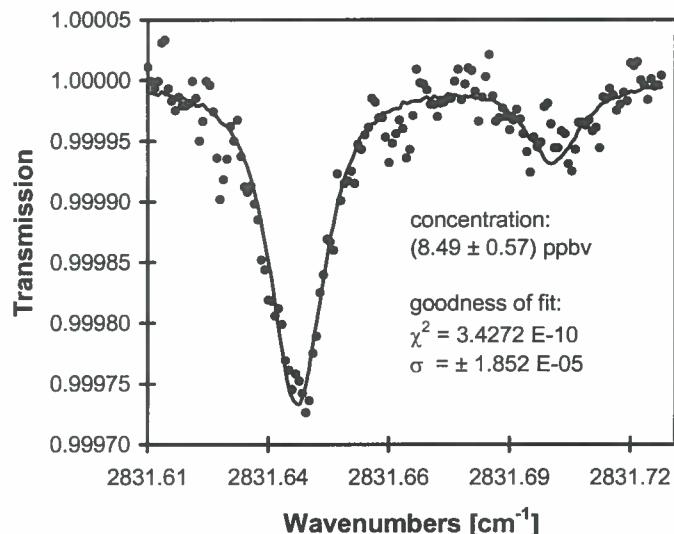


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Mid-IR DFG Based H₂CO Sensor

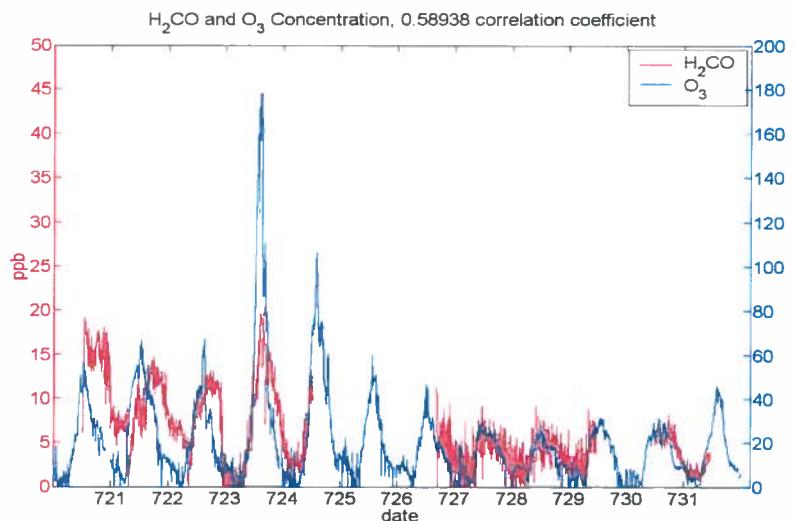


H₂CO Detection in Ambient Air at 3.53 μm



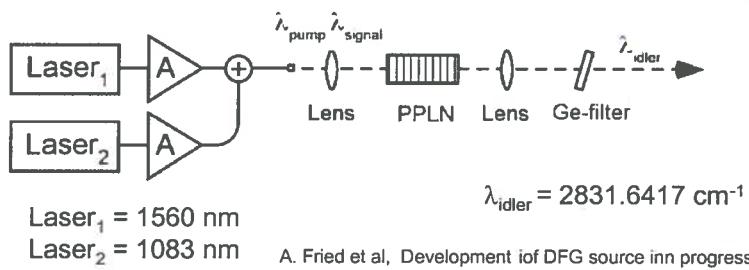
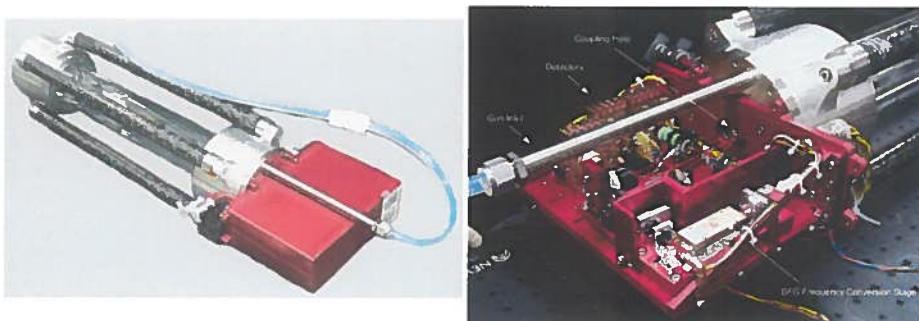
D. Rehle et al. Applied Physics B72, 947-952 (2001)

H₂CO and O₃ Concentrations at Deer Park, TX for July 20-31, 2003



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Advanced DFG System for H₂CO Detection



Motivation for a NCAR Biocomplexity Project (2003-2005)

- Study of the Carbon Cycle: Land, Ocean, Atmosphere
- Combustion of fossil fuels increase atmospheric CO₂ levels and in turn global temperatures
- Currently, oceanic and terrestrial biospheres absorb 50% of anthropogenic atmospheric carbon. Will the sinks cease to take up CO₂?
- Major sources and sinks have been identified, but their specific mechanisms are still unclear
- Flexible and real time sensor is needed.
- Bring the instrument to the sample: Land, sea, troposphere, stratosphere, planets !



NCAR C-130

Accurate measurements of ^{12/13}CO₂ ratios and other trace gases are key to attain detailed knowledge of the carbon cycle processes

Intercontinental Chemical Transport Experiment 2004

Each CH₂O Point

Replicate Precision

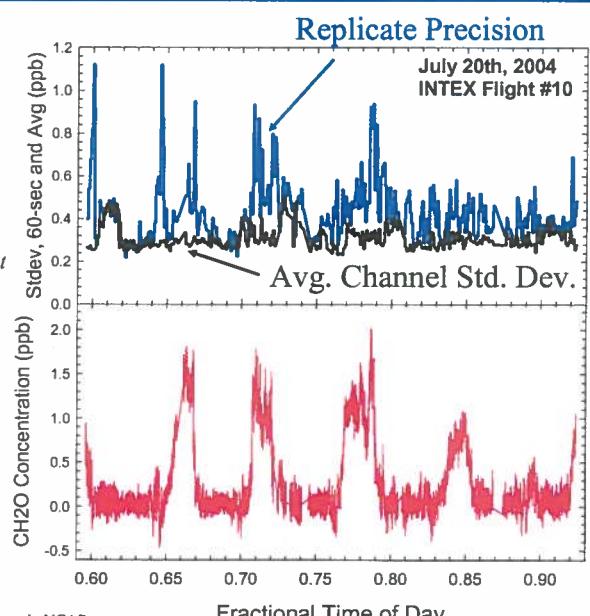
Over 1 min, N = 60

Avg. Channel Std. Dev

$$\sigma_{1\text{min}} = \frac{\sigma_{1\text{sec}}}{\sqrt{n}} \equiv \frac{200 \text{ ppt}}{\sqrt{60}} \equiv 26 \text{ ppt}$$

Each Point
1-second data points

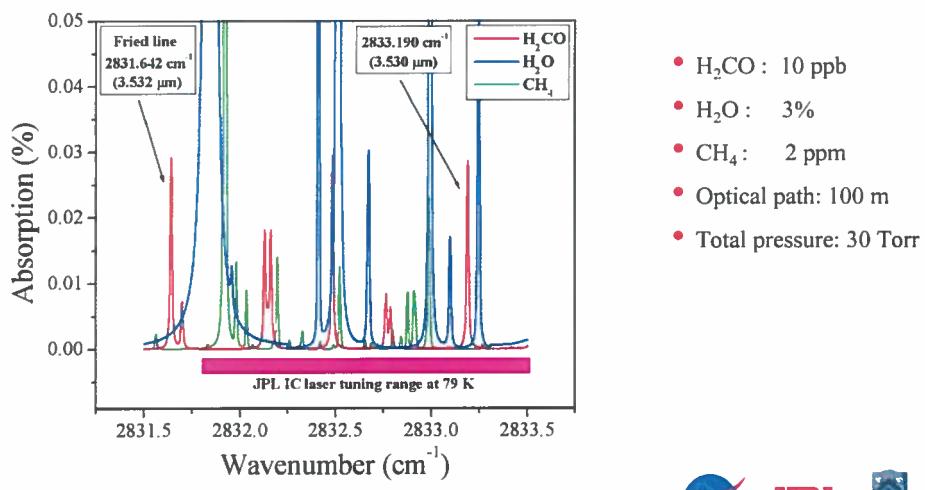
Concentration determined by least squares fit of Calibration spectrum to Sample spectra



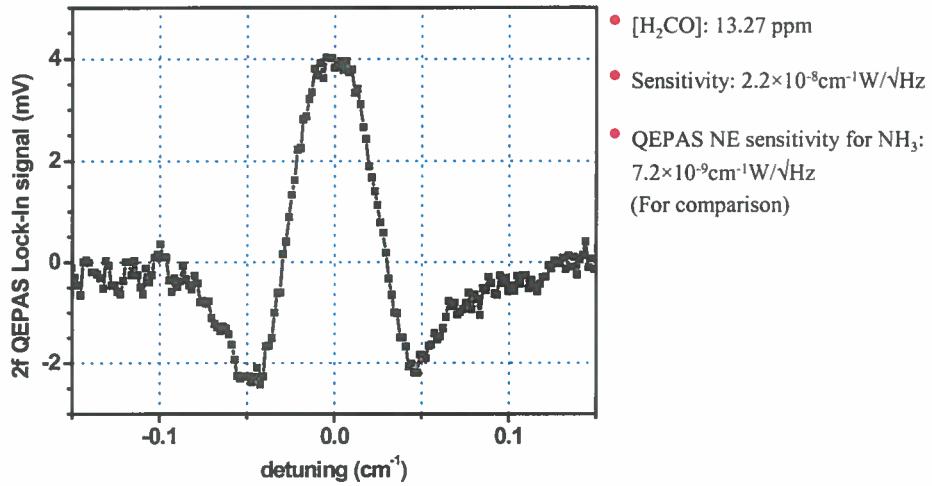
INTEX July 2004, data provided by Alan Fried et. al., NCAR Boulder, CO

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HITRAN Based Simulation of a H₂CO-H₂O-CH₄ Spectrum in Tuning Range of a 3.53μm IC Laser

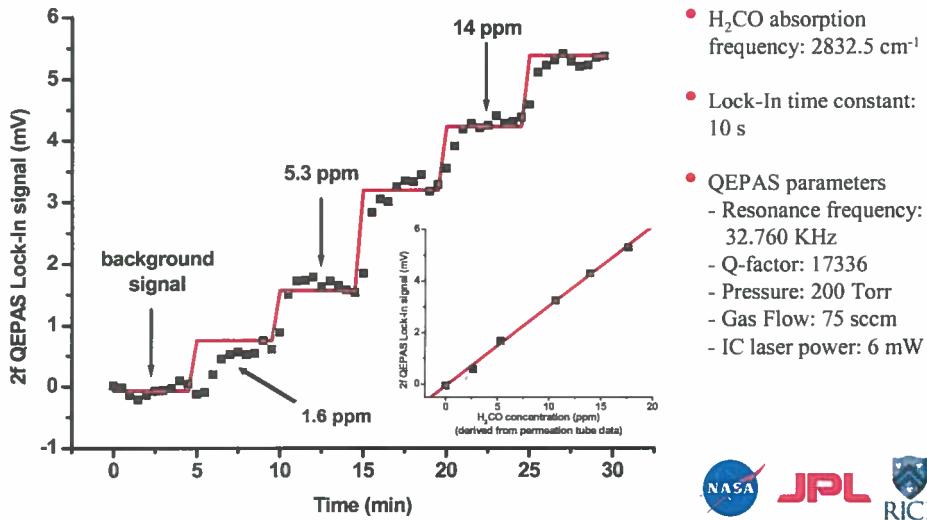


2f - QEPAS based H₂CO signal at 3.53 μm (2832.48 cm⁻¹)



Applied Physics B 79, 799-803, 2004

IC Laser based Formaldehyde Calibration Measurements with a Gas Standard Generator



QEPAS Performance for 7 Trace Gas Species (2004)

Molecule (Host)	Frequency, cm^{-1}	Pressure, Torr	NNEA, $\text{cm}^{-1}\text{W}/\text{Hz}^{1/2}$	Power, mW	NEC ($\tau=1\text{s}$), ppmv
$\text{NH}_3 (\text{N}_2)$	6528.76	60	7.2×10^{-9}	38	0.65
H_2O (exhaled air)	6541.29	90	8×10^{-9}	5.2	580
CO_2 (exhaled air)	6514.25	90	1.0×10^{-8}	5.2	890
N_2O (air+5%SF ₆)	2195.63	50	1.5×10^{-3}	19	0.007
CO (N ₂)	2196.66	50	5.3×10^{-7}	13	0.5
CO (propylene)	2196.66	50	7.4×10^{-3}	6.5	0.14
CH_2O (air)	2832.48	200	2.2×10^{-8}	3.4	0.55

NNEA – normalized noise equivalent absorption coefficient.

NEC – noise equivalent concentration for available laser power and $\tau=1\text{s}$ time constant.



Motivation for Measuring $^{13}\text{CO}_2/^{12}\text{CO}_2$ Isotopic Ratios

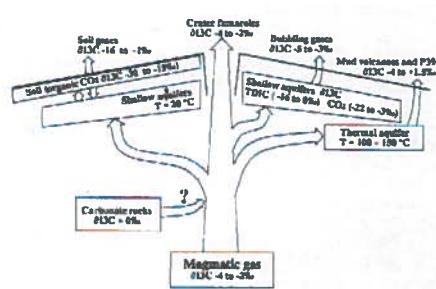
- Atmospheric Chemistry: Environmental monitoring of C_y gases (CO₂, H₂O, CO, N₂O, CH₄)
 - Global warming studies
 - Temporal and spatial variations of isotopic ratios
 - Identification of carbon sources and sinks
 - Global carbon budget studies
- Study of planetary gases (e.g. for Mars: CO, CO₂, H₂O, CH₄, O₃, OCS)
- Volcano eruption forecasting and gas emission studies (CO₂, HCl, SO₂, HF, H₂S, CO, H₂O)
- Geochemistry
- Medical applications (non-invasive human health monitoring)

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Volcanological applications

- CO₂ the most abundant component of volcanic gases after H₂O
- $\delta^{13}\text{C}$ is a sensitive tracer of magmatic vs. hydrothermal or groundwater contributions to volcanic gases
- Monitoring $\delta^{13}\text{C}$ can be used in eruption forecasting and volcanic hazard assessment



Isotopic Ratio Measurement Techniques

- Current standard technique: Isotope Ratio Mass Spectrometry (IRMS) $\Delta\delta \sim 0.01\text{-}0.05 \text{ ‰}$
 - Small mass differences are difficult to measure
 - Not real time
 - Not field deployable
 - Complex sample preparation and sample destruction
- Fourier Transform Spectroscopy $\Delta\delta \sim 0.1\text{-}0.2 \text{ ‰}$
 - Not selective for compact and intermediate sized platform
- Tunable Laser Absorption Spectroscopy $\Delta\delta \sim 0.2 \text{ ‰}$
 - Lead salt lasers
 - Difference Frequency Generation
 - Near-infrared diode
 - Mid-infrared quantum cascade lasers

CO₂ Absorption Line Selection Criteria

- Three strategies:
 - Similar strong absorption of ¹²CO₂ and ¹³CO₂ lines
 - Very sensitive to temperature variations
 - Similar transition lower energies
 - Requires a dual path length approach to compensate for the large difference in concentration between major and minor isotopic species-or-
 - Can be realized if different vibrational transitions are selected for the two isotopes (4.35 μm for ¹³CO₂ and 2.76 μm for ¹²CO₂)*
- For the first 2 strategies both absorption lines must lie in a laser frequency scan window
- Avoid presence of other interfering atmospheric trace gas species

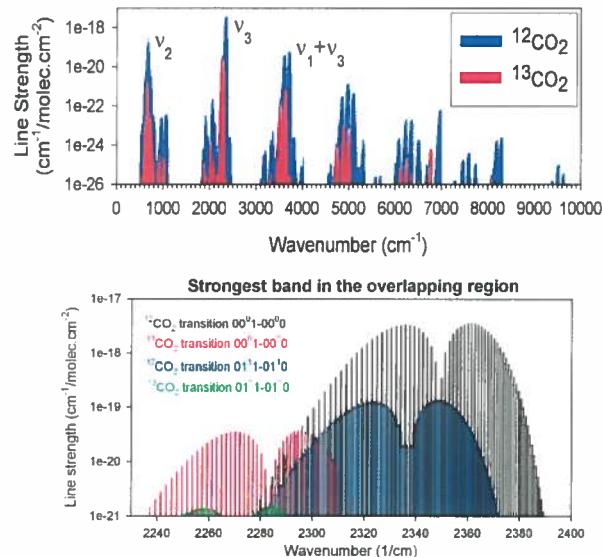
* Proposed scheme by Curl, Uehara, Kosterev and Tittel, Oct. 2002



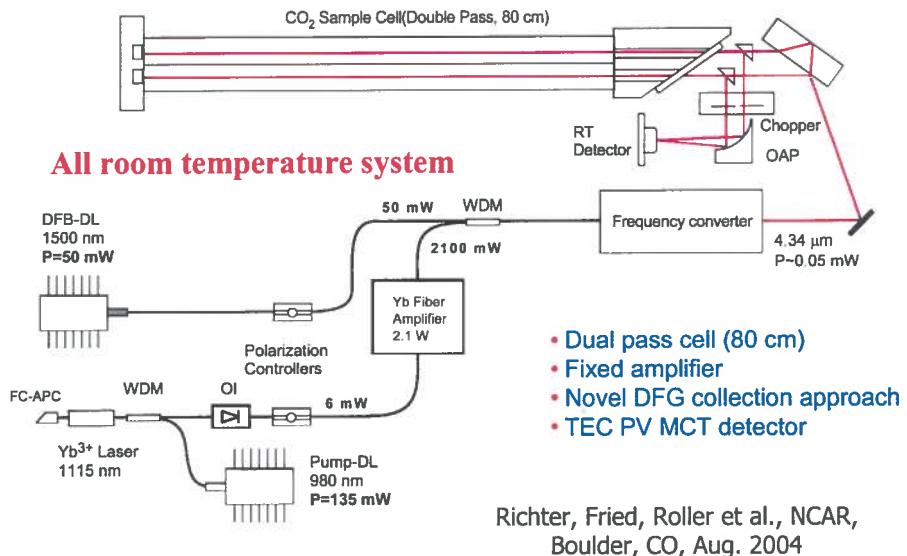
Comparison of CO₂ line selection and strategy for different current US mid-IR laser-based isotopic ratiometers

Group	Technology	Frequency 12/13 [cm ⁻¹]	δT [K]	Precision
NCAR, UC and Rice U. A. Fried et al; Erdelyi	DFG with NIR TDLs and fiber amplifiers	2299.642 2299.795	0.005	0.8 ‰*
Aerodyne, Harvard U. M. Zahniser et al.	Direct Scan PbSalt TDL, QCL, DFG; Dual optical paths	2314.304 2314.408	0.213	0.2 ‰
Physical Sciences D. Sonnenfroh et al	QCL	2318.1		0.5 to 1‰
Rice University Tittel et al	QCL Dual optical paths	2311.105 2311.399	181 Very large	<1 ‰
U. of Utah Bowling, Picarro	PbSalt TDLs Campbell Scientific Instrum.	2308.225 2308.171	0.006	0.2 ‰
JPL C. Webster	TDLs and QCL, LAS	2303.7 2303.5	0.007	TBD ‰
NASA-Ames Becker et al; Jost, LGR	Direct Scan PbSalt TDLs & QCLs with ICOS	2291.542 2291.680	0.004	4 ‰

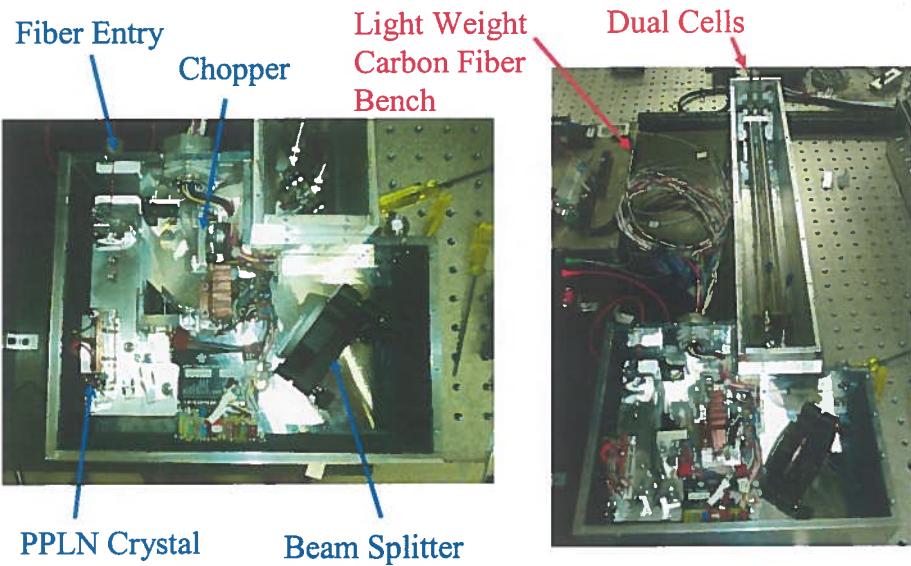
HITRAN based Simulation of Ro-vibrational bands suitable for ¹²CO₂/¹³CO₂ ratio measurements



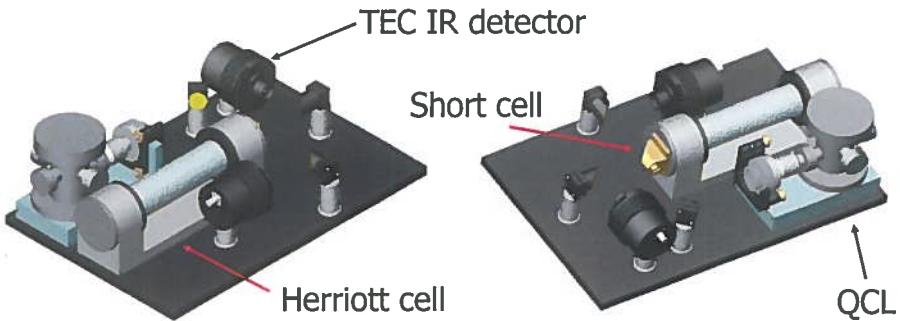
Mid-IR DFG Isotopic Ratio Spectrometer



Current Status of DFG based IRS System at NCAR



QC laser based Isotopic Ratio Sensor at Rice



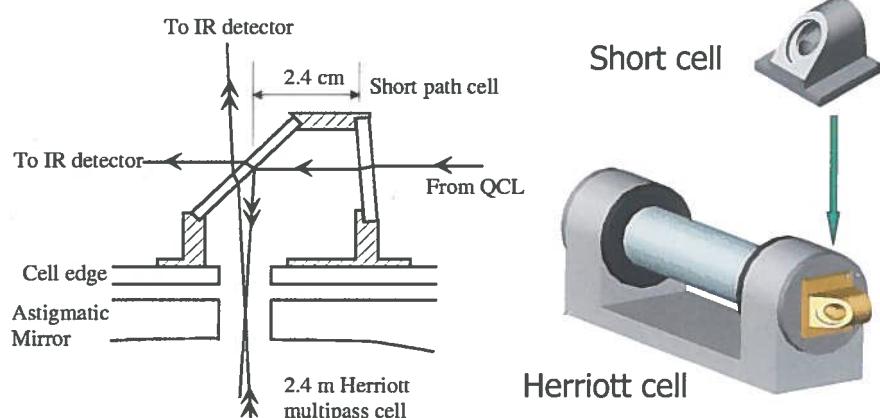
Bread board: 12x18" (30x45 cm)

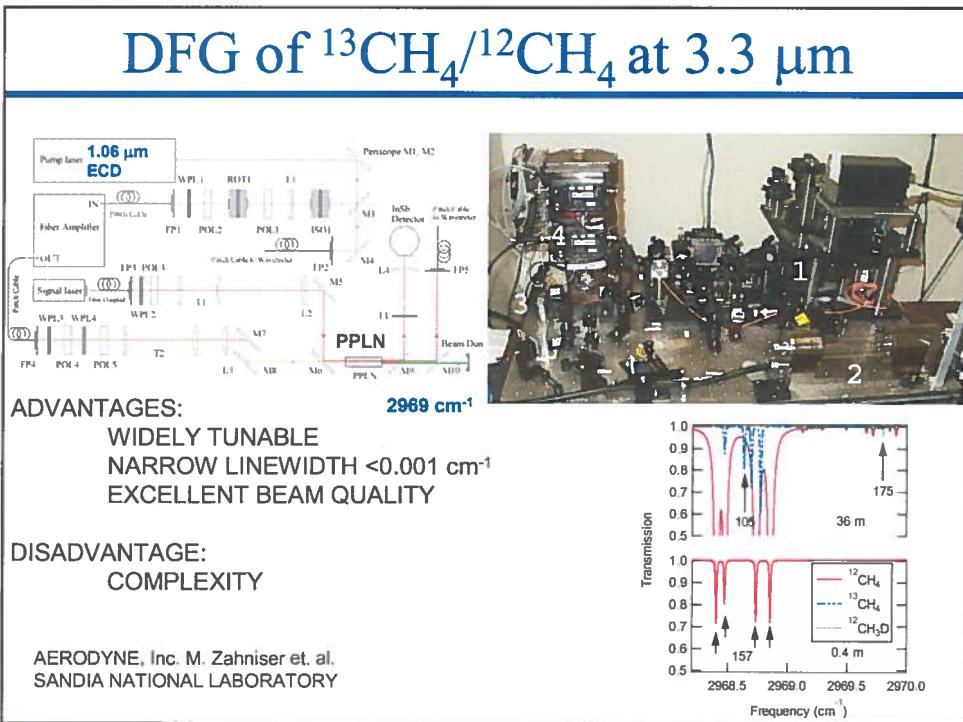
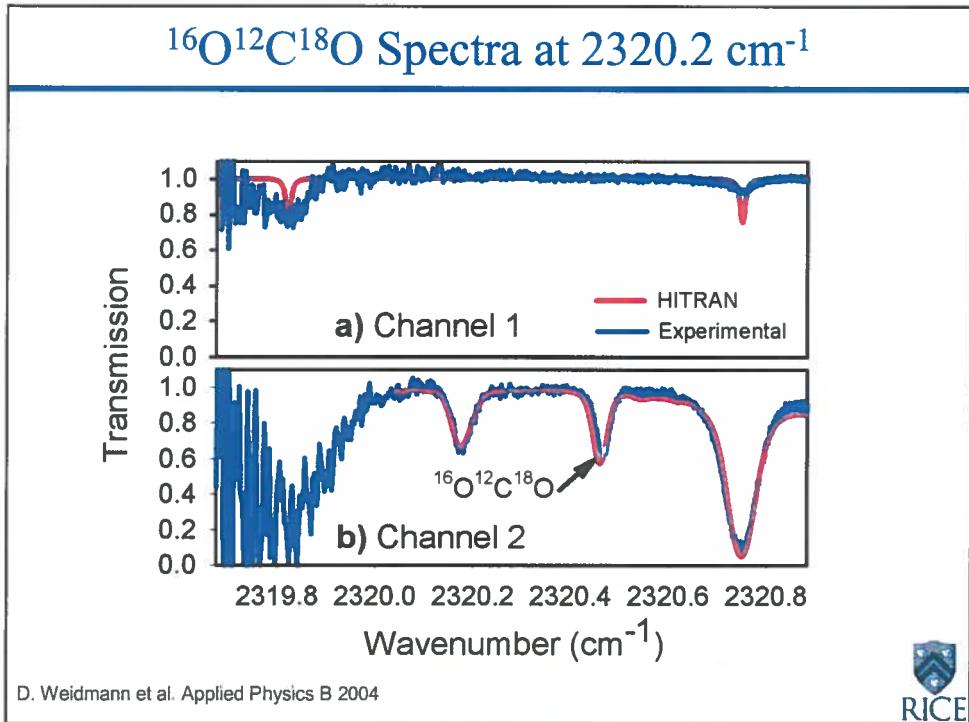
The sensor must be operated in a dry nitrogen atmosphere
to eliminate atmospheric CO₂ background



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Dual path length gas cell design for infrared ratio spectrometry



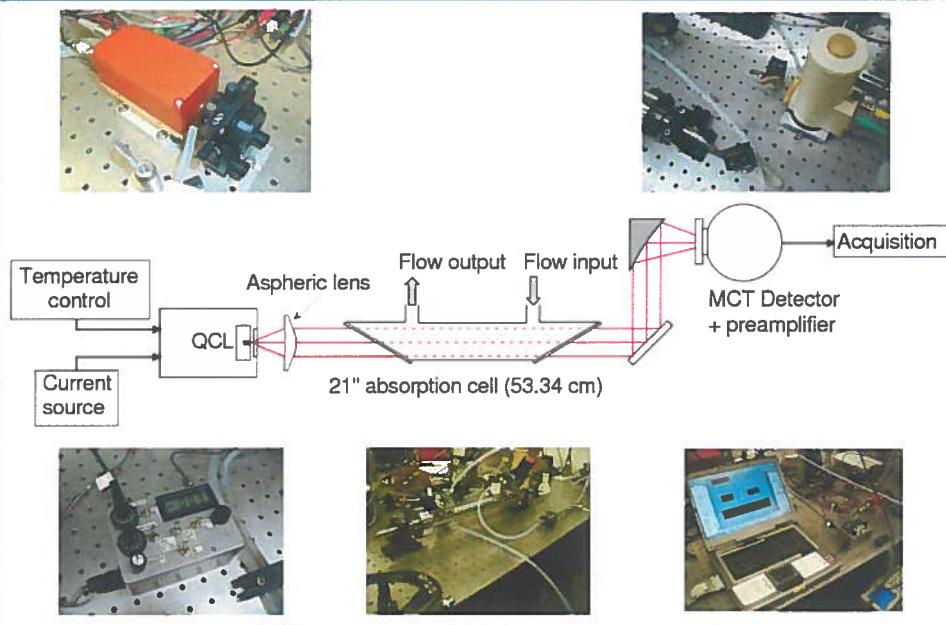


Towards CW Operation of DFB QCLs

- Main drawbacks of QCL pulsed operation
 - Pulse to pulse intensity variation
 - Linewidth broadening by thermal chirping
 - Requirement of nanosecond electronics
- Efforts towards achieving quasi-RT CW DFB QCLs
 - M. Beck *et al.*, Science, **295**, 301-305, 2002
 - T. Aellen *et al.*, Applied Physics Letters, **83**, 1929, 2003
 - A. Evans, *et al.*, Applied Physics Letters, **84**, 314, 2004 [FP QCL]
 - M. Troccoli *et. al.*, 5th Workshop on Quantum Cascade Lasers, Freiburg, Sept. 23-24 2004

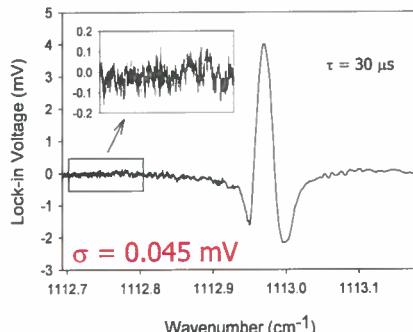
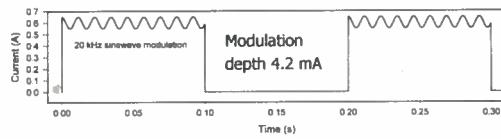


CW RT DFB QC laser based NH₃ Sensor @ 9 μm (1113 cm⁻¹)



Wavelength Modulation Spectroscopy of NH₃

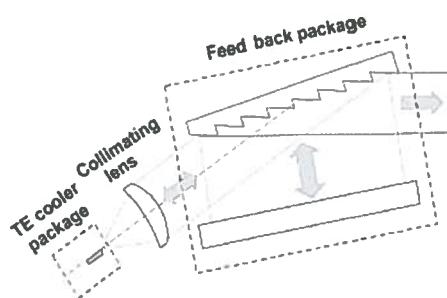
- QCL Drive Current :
Quasi CW +
Wavelength modulation



- Calibration with a
1038 ppm NH₃:N₂ mixture
1 σ extrapolated sensitivity
82 ppb.m/ $\sqrt{\text{Hz}}$
⇒ Improvement by a factor of
3 compared to direct
absorption spectroscopy

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λ -Tunable Pulsed QC Laser GCEC Architecture



H. Le et al., Univ. of Houston

NASA Atmospheric & Mars Gas Sensor Platforms

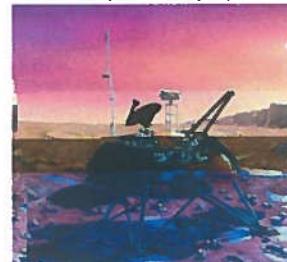


Tunable laser sensor for earth's stratosphere

Aircraft laser absorption spectrometer



Tunable laser planetary spectrometer



Conclusions and Future Directions

- **Quantum Cascade Laser based Trace Gas Sensors**
 - Compact and robust sensors based on QC-LAS and QE L-PAS
 - High sensitivity (10^{-4} - 10^{-5}) and selectivity (3 to 500 MHz)
 - Dramatic reduction of sample volume ($\sim 0.2 \text{ mm}^3$)
 - Detected trace gases: NH₃, CH₄, N₂O, CO₂, CO, NO, H₂O, COS, C₂H₄, C₂H₅OH, SO₂, H₂CO and several isotopic species of C, O, N and H.
- **Applications in Trace Gas Detection**
 - Environmental monitoring (NH₃, CO, CH₄, C₂H₄, N₂O, CO₂)
 - Industrial process control and chemical analysis (NO, NH₃)
 - Medical Diagnostics (NO, CO, COS, CO₂, C₂H₄)
- **Future Directions and Collaborations**
 - Cavity enhanced (ICOS) and QE L-PAS spectroscopy based applications using novel thermoelectrically cooled cw and broadly wavelength tunable quantum cascade lasers
 - Applications using new near IR interband and far-IR intersub-band quantum cascade lasers